OMB No. 0925-0001 and 0925-0002 (Rev. 10/15 Approved Through 10/31/2018)

BIOGRAPHICAL SKETCH

NAME: Díaz Esperanza

eRA COMMONS USER NAME: ESDIAZ

POSITION TITLE: Associate Professor of Psychiatry, Yale University, School of Medicine

EDUCATION/TRAINING

| INSTITUTION AND LOCATION | DEGREE  (if applicable) | Completion Date  MM/YYYY | FIELD OF STUDY |
| --- | --- | --- | --- |
| Pontificia Universidad Javeriana, Bogotá, Colombia | MD | 1978 | Medicine |
| CT Valley Hospital and Yale University, New Haven, CT | Residency | 1982-1987 | Psychiatry |
| Western New England In Psychoanalysis, New Haven, CT | Psychoanalyst | 2002 | Psychoanalysis |
| Epidemiology Public Health, Yale University, New Haven |  | 2000-04 | Epidemiology, Methods, Biostatistics |
| Medical Education Fellowship | Fellow | 2010-11 | Teaching Methods |

# A. Personal Statement

I have established a national leadership reputation in the area of Latino behavioral health, as evidenced by my active involvement within professional organization committees, invited presentations, grant reviews, teaching and consultation around service delivery within the Hispanic community, and scholarship. I serve as the Medical Director of the Connecticut Mental Health Center (CMHC) Hispanic Clinic and the regional Latino Behavioral Health System. Both programs are innovative models of culturally competent psychiatric and primary care, and provide training for psychiatrists, psychologists, social workers, and other health care providers. Further, I am associate program director for the psychiatry residency. Through these positions, I serve as a role model for the increasing number of Hispanic faculty in the Department. I am dedicated to develop culturally sensitive health professionals and services to address problems that interfere with treatment adherence and patient outcomes. My research focuses on exploring: methods to teach cultural psychiatry, cross-cultural issues, psychiatric diagnosis, interviewing, treatment response, health services delivery and disparities.

# B. Positions and Honors

*Positions*

1977-1978 Medical Surgical Internship, San Ignacio Hospital, Bogota, Colombia

1978-1979 General Practitioner, Tibu, Colombia

1979-1980 Ophthalmology Residency, Ct. Colombian Institute of Ocular Surgery, Bogota, Colombia

1982-1985 Psychiatric Residency, CT. Valley Hospital, Middletown, CT, and Connecticut Mental Health Center, Yale University, New Haven, CT

1985- 1987 Psychiatric Residency, Department of Psychiatry, Yale University, School of Medicine, New Haven, CT

1987- 1988 Staff Psychiatrist, Division of Mental Hygiene, Yale University Health Service

1987- 1990 Psychiatrist, Meriden Wallingford Hospital, Meriden, CT

1990- 1992 Psychiatrist, Outpatient Services, Griffin Hospital, Derby, CT

1992- 1995 Psychiatrist, Veterans Memorial Medical Center, Meriden, CT

1995- 2001 Assistant Professor, Department of Psychiatry, Yale School of Medicine, New Haven, CT

2001- 2005 Associate Professor, Department of Psychiatry, Yale School of Medicine, New Haven, CT

2005- 2008 Associate Professor, Department of Psychiatry, University of Medicine and Dentistry of New Jersey (UMDNJ), Robert Wood Johnson Medical School, Piscataway, NJ

2008- present Associate Professor, Department of Psychiatry, Yale School of Medicine, New Haven, CT

2008- present Associate Program Director, Residency of Psychiatry, Yale School of Medicine, New Haven, CT

*Other Experience and Professional Memberships*

1983- American Psychiatric Association, APA

1983- Connecticut Psychiatric Society

1996- 2002 American Psychoanalytic Association

1999- American Society of Hispanic Psychiatry, Member Board of Directors Treasurer (99-08)

2002- 2005 National Alliance for the Mentally Ill Board of Directors, CT chapter

2002- 2003 Health Services Scientific Review Committee, National Institute of Mental Health

2004- Present Member Scientific Review Committee Minority/Disability Predoctoral fellowship National Institute of Health, Bethesda, MD.

2004- 2007 Advisory Committee for Women Services (ACWS) Substance Abuse and Mental Health Services Administration (SAMHSA)

2006 - 2008 American Psychiatric Association Committee of Hispanic Psychiatry

2012 - American Psychiatric Association, Hispanic Caucus, Secretary

2015 Member World Association of Cultural Psychiatry. Member Operational Group

2015 Member Yale Fulbright Review Committee Yale College

2015 - Proposed for APA Trustee at Large Nomination 2016-17

*Honors and Awards*

1991 Diplomate American Board of Psychiatry and Neurology

2002 Graduate Western New England Institute for Psychoanalysis, New Haven, CT

2007 Fellow American Psychiatric Association

2004- 2007 Member, Advisory Committee for Women’s Services, Substance Abuse and Mental Health Services Administration (SAMHSA), Washington, DC

2002- 2005 Member, Board of Directors, National Alliance for the Mentally Ill (NAMI) CT Chapter

2001- 2007 Member, Board of Directors, American Society of Hispanic Psychiatry, Treasurer

2012- present American Psychiatric Association, Hispanic Caucus, Secretary

2012 American Psychiatric Association, Assembly Member-in-Training (MIT) Mentor Award. Recipients of this award are recognized for their mentorship to MITs and encouragement of MIT leadership development.

2012 Irma Bland Award for Excellence in Teaching Residents, (APA)

2012 Stephen Fleck MD Faculty Award, as exemplary Physician and Clinician Teacher, Department of Psychiatry, Yale School of Medicine.

2016 Life Fellow American Psychiatric Association

# C. Contribution to Science

My major contributions are in the area of cultural psychiatry with a focus on acculturation and effectiveness of psychiatric treatments in the Latino communities. I have also contributed in ensuring the new psychiatric diagnostic classification for US psychiatry is applicable to our diverse communities and incorporates a cross-cultural perspective, inclusive of symptoms and challenges specific to Hispanic and Latino communities. I have developed methods to teach a cultural psychiatry curriculum including health disparities, bias and prejudices influencing the doctor-patient relationship, use of video recorded role-plays and use of the Cultural Formulation Interview.

*Most Relevant*

1. **Diaz E**., Prigerson H., Desai R., Rosenheck R.M. Perceived Needs and Service Use of Spanish-Speaking Monolingual Patients Followed at a Hispanic Clinic. *Community Mental Health Journal*, 2001, 37: 335-346.

2. **Diaz E**., Neuse E., Sullivan M. C., Pearsall, H. R., Woods, S. W. Medication Adherence to Conventional and Atypical Antipsychotics after Hospital Discharge. *Journal of Clinical Psychiatry,* 2004, 64: 354-360

3. **Diaz E**., Woods SW., Rosenheck R. Effects of Ethnicity on Psychotropic Medication Adherence. *Community Mental Health Journal*, 2005, 41: 521-537.

4. **Diaz, E**., Miskimen, T., Vega, W.A., Gara, M., Lesser, I., Escamilla, M., Neighbors, H.W., Arndt, S., Wilson, D., Strawkoski, S. Inconsistencies in Diagnosis and Symptoms among Bilingual and English Speaking Latinos, and Euro-Americans. *Psychiatric Services*. 2009, 60: 1379-1382

5. **Diaz, E**., Armah, T., Linse, C., Fiskin, A., Jordan, A., Hafler, J. “Novel Brief Cultural Psychiatry Training for Residents. *Academic Psychiatry,* 2015, 40: 366-368 doi:10.1007/s40596-015-0279-z PMID: [25636254](http://www.ncbi.nlm.nih.gov/pubmed/25636254)

6. Paris, M., Silva, M. A., **Diaz, E**., Bedregal, L. E., Cole, R. A., & Añez-Nava, L. “The Connecticut Latino Behavioral Health System: A culturally informed community-academic collaboration.” *Psychological Services”.*

2016, May: 13(2):140-7. doi: 10.1037/ser0000065. PMID: 27148948

7. Aggarwal N, Lam, P., Castillo, E., Weiss, M.D., **Diaz E**, et al “How Do Clinicians Prefer Cultural Competence Training? Findings from the DSM-5 Cultural Formulation Interview Field Trial” Acad Psychiatry. 2016 Aug; 40(4): 584-591. doi: 10.1007/s40596-015-0429-3. PMID: [26449983](http://www.ncbi.nlm.nih.gov/pubmed/26449983)  
8. **Diaz, E**., Añez, L.M., Silva, M., Paris, M., Davidson., L. Using the Cultural Formulation Interview to Build Culturally Sensitive Services, Psychiatric Services. In Press 2017

9. Lewis-Fernandez, R., Aggarwal, N., Lam, P., Galfalvy, H., Weiss, M., Kirmayer, L., Deshpande, S., **Diaz, E.**, Nicasio, A., Boiler, M., Alarcon, R., Rohlof, H., Groen, S., VanDijk, R., Jadhav, S., et al. Feasibility, Acceptability, and Clinical Utility of the Cultural Formulation Interview: Mixed-Methods Results from the DSM-5 International Field Trial. British Journal of Psychiatry. In Press

*Chapters*

1. **Diaz, E**., Armah, T., Hinton, L. “Supplementary Module: Social Network” in Lewis-Fernandez R., Aggarwal, N., Hinton, L., Hinton, D., Kirmayer, L. (Eds) DSM-5 Handbook on the Cultural Formulation Interview. American Psychiatric Publishing. 2015

2. Lim, R., **Diaz E**., Ton, H. Cultural Competence in Psychiatric Education Using the Cultural Formulation Interview in Lewis-Fernandez R., Aggarwal, N., Hinton, L., Hinton, D., Kirmayer, L. (Editors) DSM-5 Handbook on the Cultural Formulation Interview. American Psychiatric Publishing. 2015

3**. Diaz, E.** Silva, M., Garcia-Aracena F., Paris, M., Anez, L., Barkil-Oteo, A., Annamalai, A., Delphin, M. “Cultural Psychiatry and Public Health” in Steiner, J. & Jacobs, S. (Eds) Yale Textbook of Public Psychiatry Oxford Press. 2016

4. Van Schalkwyk G, **Diaz E**, Rohrbaugh R. “Considering the Bio psychosocial Formulation – Challenges and Opportunities for the IMG” Rao, N., Roberts, L.(Eds) International Medical Graduates - A Guide to Training. 2016

*Presentations, Workshops, Symposia*

1. Diaz E.**,** Chair, DelaGandara J., Ballestas, J., Barkil-Oteo, A., Castellanos, D. American Psychiatric Association, APA annual meeting, 2016 “Addressing the Demand for Mental Health Care Needs of Hispanics” Atlanta, GA

2. Lim R, Diaz E. “Teaching Cultural Psychiatry to Medical Students, Residents, and Psychiatrists using the DSM-5 Cultural Formulation Interview (CFI)” 4TH World Association of Cultural Psychiatry Congress, November 2015, Puerto Vallarta, Mexico.

3. Diaz, E. Chair, Bäärnhielm, S., Qureshi, A., Marienfeld, C. XVI World Congress of Psychiatry, 2014. Madrid, Spain. “Cultural Psychiatry Teaching Methods: Perspectives from Spain, Sweden and the United Sates of America”

4. Diaz, E, Chair, De la Gandara, J., Valdes, G., Garcia-Aracena, F, Reyes, M. Marin, H. “Hispanic Diversity: One Language, Diverse Cultures” APA annual Meeting, May 2014, NY, NY.

5. Diaz, E. Armah T. Crusto C., Workshop American Association of Directors of Psychiatry Residency

Training, 2013 AADPRT Annual Meeting, Fort Lauderdale, FL, “Making a Difference: “Teaching Methods to Address Cultural Competence”

# D. Additional Information: Research Support and/or Scholastic Performance

*Additional Publications*

1.**Diaz E**., Levine H.B., Sullivan M., Sernyak M.J., Hawkins K.A., Cramer J.A., Woods S.W. "Use of the Medication Event Monitoring System to estimate medication compliance in patients with schizophrenia.” J Psychiatry and Neuroscience, September 2001, Vol 26, No 4, 325-339.

2**.Diaz E**., Prigerson H., Desai R., Rosenheck R.M. " Comparison of Retained and Newly Admitted Monolingual Patients at a Hispanic Clinic" PSYCHLINE 2002, Vol 4, Number 1, 15 -18.

3.Woods SW, Sullivan MC, Neuse EC, **Diaz E**, Baker CB, Madonick SH, Griffith EH, Steiner JM: Racial and Ethnic Effects on Antipsychotic Prescribing Practices in a Community Mental Health Center”. Psychiatric Services 2003, 54, No.2: 177- 179. PMID: 12556597

4.Delgado P., Escobar J., Alegria M., Cañive J., Diaz E., Kopelowicz A., Oquendo M., Ruiz P., Vega W. A. “Depression and its Treatment in US Hispanic Groups: A Review of the Literature and Recommendations for Future Research” Focus, Winter 2006, Vol IV, No 1: 38 – 47

5**.Diaz, E**., Huebner, C. Strategies for Recruitment and Retention of Minorities in Research of Mood Disorders in Sana Loue, J.D., Ph.D., M.P.H., Martha Sajatovic, M.D., Laura Roberts, M.D (Editors) Diversity Issues In The Diagnosis, Treatment, And Research Of Mood Disorders, 2007 Oxford Press

6. Escobar, J., Cook, B., Chen, C., Gara, M., Alegria, M., Interian, A., **Diaz E**. “Wether Medically Unexplained or not, three or more concurrent somatic symptoms predict psychopathology and service use in Community

Populations”, J of Psychosomatic Research, 2010,Vol 69 1-8. PMID: 20630257

7. Marienfeld, C., Tek, E., **Diaz, E.,** Schottenfeld, R., Chawarski, M. "Psychiatrist decision-making towards prescribing benzodiazepines:  The dilemma with substance abusers" Psychiatric Quarterly, 2012. 83: 521-529. DOI: [10.1007/s11126-012-9220-8](http://dx.doi.org/10.1007/s11126-012-9220-8). PMID: [22528141](http://www.ncbi.nlm.nih.gov/pubmed/22528141)

8. **Díaz, E**. Member of the DSM-5 Study Group on Gender and Cross Cultural Issues authoring. “The Cultural Formulation Interview” in Diagnostic and Statistical Manual of Mental Disorders (5th Ed.) DSM-5. American Psychiatric Publishing Inc. 2013.

*Educational Materials*

**Díaz, E.** “Mental Health: A Guide for Latinos and their Families” Video and booklet to educate Latinos about mental heath. English and Spanish. American Psychiatric Association. Committee of Hispanic Psychiatrists. 2009.

**Díaz, E**., Armah, T., Hersey, D., Wang, L., Hafler, J. “Enhancing your Clinical Cultural Competence ” E-curriculum, Training and Education Center, Yale University School of Medicine, 2011. <http://tlcweb.med.yale.edu/cultural/>

*Research Support*

*Completed Research Support*

American Psychiatric Association Diaz E (PI) 01/15/2011 – 07/30/2013  
DSM 5 Field Trials: “Feasibility, Acceptability, and Perceived Clinical Utility of the Cultural Formulation Interview DSM 5” (New Haven Site)  
Role: P.I.

IP20-MH074634-01 Escobar (PI) 07/01/2005 – 06/30/2010  
Somatization is a common reason for consultation in Primary Care. The research explored the problem to identify possible interventions: “Medically Unexplained Physical Symptoms (MUPS) in Primary Care Research Center”  
Role: Co investigator  
  
RO1 MH068801 Vega (PI) 04/01/2005 – 06/30/2010  
Ethnicity influence psychiatric diagnosis. The study explore how ethnicity influence diagnosis in patients suffering from mood disorders: “Ethnicity and the Diagnosis of Mood Disorders”  
Role: Co investigator  
  
K23 MH 01912 Diaz (PI) 01/01/2000 – 06/30/2005

Adherence to medications is a crucial influence in treatment outcomes. The study explored medication adherence in Latinos as measured by an electronic monitor. Influences of medication adherence in this population were identified: “Medication Adherence in Latinos”

Role: PI